Application No. 10/006,368 of HOOGENDOOM et al. Amendment dated September 29, 2004 Page 1

RECEIVED CENTRAL FAX CENTER

SEP 2 9 2004

# IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application Number: 10/006,368

Confirmation No. 1673

Klysima

Applicant

: HOOGENDOOM et al.

Filed

: 10 December 2001

Tech Cntr/AU

: 1751

Examiner

: D. G. Hamlin

Entitled

: NEW ESTERS AND ESTER COMPOSITIONS

Attorney Reference

118989-40284116

Customer Number

000043569

September 29, 2004

# CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at (703) 872-9306 on the date shown below:

- Amendment Transmittal Letter with Extra Claim Fees;
- Amendment; and
- Fee Transmittal, in duplicate.

Respectfully submitted,

Mayer, Brown, Rowe & Maw LLP Intellectual Property Group

1909 K Street

Washington, D.C. 20006-1101

September 29, 2004

PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0851-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a yalld OMB control number. Complete if Known FEE TRANSMITTAL 10/006.368 Application Number December 10, 2001 Filing Date for FY 2004 HOOGENDOOM First Named Inventor Effective 10/01/2003. Patent fees are subject to ennual revision. D.G. Hamlin **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1751 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 172.00 118989-40284116 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Check Credit card Money Order Other None arge Entity , Small Entity ✓ Deposit Account: Fee Description (\$) Fee Paid Deposit 503-121 Account 1051 130 2051 65 Surcharge - late filing fee or oath Number Surcharge - late provisional filing fee or cover sheet 2052 Deposit 1052 50 25 Account Name 130 Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments ✓ Charge (ee(s) indicated below 1804 920\* Requesting publication of SIR prior to 1804 920 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fec(s) indicated below, except for the filling fee 1805 1.840 Requesting publication of SIR after 1805 1.840\* Examiner action to the above-identified deposit account. 55 Extension for realy within first month 110 2251 1251 **FEE CALCULATION** 210 Extension for reply within second month 420 2252 1252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Paid Fee Fee Code (5) Fee Description 1254 1.480 2254 740 Extension for reply within fourth month 1255 2.010 2255 1,005 Extension for reply within fifth month 2001 385 Utility filing fee 1001 770 2401 1401 330 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1402 330 2402 165 Filling a brief in support of an appeal 2003 265 Plant filing fee 1003 530 145 Request for oral hearing 1403 290 2403 Reissue filling fee 1004 770 2004 385 1,510 1451 1451 1,510 Petition to institute a public use proceeding Provisional filing fee 2005 80 1005 160 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 1,330 2453 665 Petition to revive - unintentional 1453 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Ext<u>ra Claim</u>s below Fee Paid 1502 480 2502 240 Design issue fee Total Claims -20°° = 1503 640 2503 320 Plant ssue fee X छिछ Independent 172 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity : Small Entity 180 Submission of Information Disclosure Stmt 1806 180 1806 40 Recording each patent assignment per Fee Description Fee Fee Code (\$) Code (\$) 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 18 2202 9 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 Independent claims in excess of 3 43 1201 86 2201 385 For each additional invention to be examined (37 CFR 1.129(b)) 2203 145 Multiple dependent claim, if not paid 1810 770 2810 1203 290 \*\* Reissue Independent claims 86 2204 1204 over original patent 1801 2801 385 Request for Continued Examination (RCE) 900 Request for expedited examination 1802 900 1802 " Reissue claims in excess of 20 1205 18 2205 9 of a design application and over original patent Other fee (specify) (\$) 172 SUBTOTAL (2) \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \*\*or number previously paid, if greater; For Reissues, see about (Complete (# applicable)) SUBMITTED BY Registration No. Telephone 202/263-3374 Christopher M. Be 52,603 Name (PrintType) (Attorney/Agent)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 67 CFR 1.17 and 1.27. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or auggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

Signature

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/006,368 Application Number December 10, 2001 Filing Date for FY 2004 HOOGENDOOM First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. D.G. Hamlin **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1751 Art Unit (\$) 172.00 TOTAL AMOUNT OF PAYMENT 118989-40284116 Attorney Docket No METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Money Order Check Credit card Large Entity | Small Entity ✓ Deposit Account: Fee Code F00 (5) Fee Description Code Deposit Fee Paid 503-121 1051 130 2051 65 Surchrige - late filing fee or oath Number 25 Surcharge - late provisional filing fee or Deposit 1052 2052 50 Account Namo 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filling a request for ex parte reexamination Credit any overpayments Charge fee(s) indicated below 920 920° Requesting publication of SIR prior to 1804 1804 ✓ Charge any additional fee(s) or any underpayment of fee(s) Examiner action Requesting publication of SIR after Charge fee(a) indicated below, except for the filing fee 1805 1.840 1805 1.840\* Examiner action to the above-identified deposit account. 1251 2251 55 Extension for reply within first month 110 **FEE CALCULATION** 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Description Fee Pald 1254 1,480 2254 740 Extension for regly within fourth month Foe rec Code (\$) 1,005 Extension for reply within fifth month 1255 2.010 2255 Utility filing fee 1001 770 2001 385 1401 330 2401 165 Notice of Appeal Design filing fee 1002 340 2002 170 330 165 Filing a brief in support of an appeal 1402 2402 1003 530 2003 265 Plant filing fee 145 Request for oral hearing 1403 290 2403 1004 770 2004 385 Reissue filing fee 1451 1.510 1451 1.510 Petition to institute a public use proceeding 1005 160 2005 Provisional filing fee 2452 55 Petition to revive - unavoidable 110 1452 SUBTOTAL (1) (\$) 1,330 2453 1453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Fee Paid Ext<u>ra Claim</u>s below 1502 480 2502 240 Design Issue fee Total Claims 2503 320 Plant issue fee 1503 640 Independent = 172 3 1460 130 Petitions to the Commissioner 1460 130 Multiple Dependent 50 Processing fee under 37 CFR 1.17(q) 1807 50 1807 arge Entity Small Entity 180 Submission of Information Disclosure Strnt 1806 180 1806 Fee Description 40 Recording each patent assignment per Code (\$) Code (5) 8021 40 8021 property (times number of properties) Claims in excess of 20 1202 2202 18 385 Filling a submission after final rejection (37 CFR 1,129(a)) 1809 770 2809 Independent claims in excess of 3 1201 86 2201 43 770 Multiple dependent claim, if not paid 1810 2810 385 For each additional invention to be 1203 290 2203 145 examined (37 CFR 1.129(b)) \*\* Reissue independent clalms 1204 86 2204 43 385 Request for Continued Examination (RCE) over original patent 1801 770 2801 900 Request for expedited examination \*\* Reissue claims in excess of 20 and over original patent 1802 1802 900 2205 1205 18 of a design application

or number proviously paid, if greater, For Reissues, see above SUBMITTED BY Kegistration No. Telephone 202/263-3374 Christopher M. Beck 52,603 Name (PrinVType) mev/Acent) September 29, 2004 Signature

Other fee (specify)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

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(\$) 172

SUBTOTAL (2)

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**Application Number:** 

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Confirmation No. 1673

**Applicant** 

HOOGENDOOM et al.

Filed

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118989-40284116

Tech Cntr/AU

1751

Examiner

D.G. Hamlin

Entitled

**NEW ESTERS AND ESTER COMPOSITIONS** 

Attorney Reference:

Customer Number :

000043569

#### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## **AMENDMENT/RESPONSE TRANSMITTAL**

Mail Stop Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Transmitted herewith is an amendment/response for this application.

#### **EXTENSION OF TIME**

A petition for extension of time under 37 C.F.R. 1.136 is not believed necessary with this filing.

Application No. <u>09/508,923</u> Page 2

#### **CLAIM FEES**

The c	laim fees hav	e bee	en calculate	<u>d as</u>	tollows	:				
_	CLAIMS REMAINING AFTER MENDMENT	PRE	HEST NO. EVIOUSLY AID FOR		ESENT (TRA	,	F	A RATE	ADDIT FE	IONAL E
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Independer	nt 5		3		2	x	\$	86.00 =	= \$	172.00
FIRST PRI	ESENTATION	OF	MULTIPLE	DEP	. CLAIN	/1+	\$	280.00 =	= \$_	0.00
TOTAL ADDITIONAL CLAIM FEE DUE										172.00

## **FEE PAYMENT**

Authorization is given herein to charge any deficiencies in the fees, or to credit any overpayments, to Deposit Account No. <u>503-121</u> as necessary to keep this application pending.

Intellectual Property Department Mayer Brown Rowe & Maw LLP 1909 K Street, N.W. Washington, D.C. 20006-1101 (202) 263-3000 Telephone (202) 263-3300 Facsimile Christopher M. Beck Registration No. 52,603

Date: September 29, 2004